bich FINANCIAL WEALTH MANAGEMENT

Abich Financial Wealth Management, LLC 20135 Lakeview Center Plaza, #110 Ashburn, VA 20147 Phone: (571) 577-9968

# Patrick J. Volk

Investment Advisor Representative

Individual CRD No. 2296850 Form ADV Part 2B – Brochure Supplement

Effective: 03/31/2023

This Form ADV Part 2B ("Brochure Supplement") provides information about the background and qualifications of Patrick Volk as a supplement to the information contained in Abich Financial Wealth Management's (referred to as "we," "our," "us," "Firm," "Advisor," or "Abich Financial") Form ADV Part 2A Disclosure Brochure. You should have received a copy of that Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of Abich Financial's Disclosure Brochure or this Brochure Supplement, please contact Abich Financial at (**571**) **577-9968**.

Additional information about Mr. Volk is available on the SEC's Investment Adviser Public Disclosure website at <u>www.adviserinfo.sec.gov</u>. The site is searchable by a unique identifying number known as a CRD number. Mr. Volk's CRD number is 2296850.

## Item 2: Educational Background and Business Experience

#### EDUCATIONAL BACKGROUND

Patrick Volk, born in 1968, is dedicated to advising clients of Abich Financial Wealth Management as an Investment Advisor Representative. Mr. Volk earned his bachelor's degree in economics from Siene College in 1991. Additional information regarding Mr. Volk's business background is included below.

#### **BUSINESS BACKGROUND**

01/2023- Present	Abich Financial Wealth Management, LLC	Investment Advisor Representative
06/2020- Present	Abich Financial Services, Inc.	Insurance Agent
02/2022-01/2023	Impact Partnership Wealth, LLC	Investment Advisor Representative
06/2020-02/2022	J. Hagan Capital, Inc.	Investment Advisor Representative
03/2020 - 06/2020	Fountainhead Wealth Advisors	Director of Financial Education
08/2018 - 02/2020	National Foundation for Cancer Research	Planned Giving Officer
01/2018 - 06/2018	Bridgemark Wealth Management	Investment Advisor Representative

# **Item 3: Disciplinary Information**

There are no legal, civil, or disciplinary events to disclose regarding Mr. Volk. Mr. Volk has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Volk.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Volk.

However, we do encourage you to independently view the background of Mr. Volk on the Investment Adviser Public Disclosure website at <u>www.adviserinfo.sec.gov</u> by searching with his full name or his Individual CRD No. 2296850

#### **Item 4: Other Business Activities**

Patrick Volk is licensed to sell fixed insurance and may engage in product sales with clients for which he will receive additional compensation. These services offered by Mr. Volk are insurance services provided as an insurance agent under Abich Financial Services, Inc. Any commissions received through the sales of insurance policies do not offset advisory fees the client may pay for advisory services provided by Abich Financial Wealth Management, LLC. Clients are not required to purchase insurance products from Mr. Volk and may seek similar services elsewhere. This is an investment related activity. Mr. Volk spends 132 hours per month on this activity.

## Item 5: Additional Compensation

Patrick Volk may receive economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Abich Financial. In addition to advisory fees, IARs may qualify for certain sales incentives or other types of awards based on the value of assets under management or investment products and services sold. For example, IARs may become eligible to receive additional compensation amounts, reimbursement for approved business expenses, and attendance at various forms of entertainment or attendance at events and educational conferences hosted or subsidized by the sponsors of certain investment products or third-party asset manager programs. Patrick Volk may also receive commissions from the sales of insurance products generated as an insurance agent.

# Item 6: Supervision

Supervision of Mr. Volk is performed by Angela Mari in her capacity as Chief Compliance Officer of Abich Financial. Abich Financial has implemented a policies and procedures manual and Code of Ethics which guides the Firm and its supervised persons in meeting their fiduciary obligations to Abich Financial's clients when providing investment advisory services. As Abich Financial's Chief Compliance Officer, Ms. Mari is responsible for the implementation of the Firm's policies and procedures and Code of Ethics. Ms. Mari may be contacted at (571) 577-9968 for more information about this Brochure Supplement.

Additionally, Abich Financial is subject to regulatory oversight by various agencies. These agencies require registration by Abich Financial and its supervised persons. As a registered entity, Abich Financial is subject to examinations by regulators, which can be announced or unannounced. Abich Financial is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Firm.

# Item 7: Requirements for State-Registered Advisers

Patrick Volk has not been involved in an arbitration award and has not been found liable in an arbitration claim alleging damages in excess of \$2,500. He has not been involved in any award or found liable in any civil, self-regulatory organization, or administrative proceeding. Additionally, he has not been the subject of a bankruptcy petition.